Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **30-JUN-22** 

of M/s. M. M. Securities (Pvt.) Ltd.

Submission Date 15-JUL-2022 16:09:57

S.No.         Read of Account         Value in 2Ak Rupee         Hair Cut/ Adjustment         N           1         Assets         23.969.425         24.969.425	Of 3
11         Property & Equipment         23,969,426         23,969,426           12         Interstment In Cord Securities         2,990,000         2,990,000           13         Investment In Debt. Securities         2,990,000         2,990,000           14         Investment In Debt. Securities         1         1           15         Investment In Debt. Securities         1         1           14         Investment In Debt. Securities         1         1         1           15         Investment In Debt. Securities         1         1         1           16         10% of the balance sheet value in the case of femure form set than 3 yeas.         1         1           16         10% of the balance sheet value in the case of femure form set than 3 yeas.         1         1         15           17         Investment in Equity Securities on the cutoff date as computed by the Securities Exchange for the securities which are the Bock. Freeze of Pleade of Investment in any aske including that securities to the securities and the securities which are the Bock. Freeze of Pleade status as on reporting date. (July 19, 201)         20,748,200         20,748,200         20,748,200           16         Investment in associated do rare no included in the investment in those securities which are the Bock. Freeze of Pleade status as on reporting date. (July 19, 201)         20,748,200         20,748,200 <td< th=""><th>et Adjusted Value</th></td<>	et Adjusted Value
Property and State State         2,990,000         2,990,000           14         Intendite Assist         2,990,000         2,990,000           14         Investment in Debt. Securities         Investment in Debt. Securities         Investment in Debt. Securities           15         Investment in Debt. Securities         Investment in Debt. Securities         Investment in Debt. Securities           16         Investment in Debt. Securities         Investment in Debt. Securities         Investment in Debt. Securities           17         Unities of the balance sheet value, in the case of tenue of more than 3 yeas.         Investment in Equity Securities         Investment in Equity Securities           15         Investment in Equity Securities on the cutoff date as computed by the Securities Exchange for the State State and the subcitation money and the subc	
1.1       Investment in Deck. Securities         1.4       Investment in Deck. Securities         1.5% of the balance sheet value, in the case of tenue upto 1 year.	
1.4       Investment in Debt. Securities       Image: Control of the balance sheet value in the case of tenue upto 1 year.         1.75: of the balance sheet value, in the case of tenue upto 1 year.       Image: Control of the balance sheet value, in the case of tenue upto 1 year.         1.10% of the balance sheet value, in the case of tenue upto 1 year.       Image: Control of tenue upto 1 year.         1.10% of the balance sheet value, in the case of tenue upto 1 year.       Image: Control of tenue upto 1 year.         1.10% of the balance sheet value, in the case of tenue of more than 3 year.       Image: Control of tenue upto 1 year.         1.10% of the balance sheet value, in the case of tenue of more than 3 year.       Image: Control of tenue upto 1 year.         1.10% of the balance sheet value, in the case of tenue of more than 3 year.       Image: Control of tenue upto 1 year.         1.11       Image: Control of tenue upto 1 year.       Image: Control of tenue upto 1 year.         1.11       Image: Control of tenue upto 1 year.       Image: Control of tenue upto 1 year.         1.11       Image: Control of tenue upto 1 year.       Image: Control of tenue upto 1 year.         1.11       Image: Control of tenue upto 1 year.       Image: Control of tenue upto 1 year.         1.11       Image: Control of tenue upto 1       Image: Control of tenue upto 1       Image: Control of tenue upto 1         1.11       Image: Control of tenue upto 1       Image: Control of tenue upto 1	
If listed than:       If listed than:       If listed than:         1.25h of the balance sheet value, in the case of tenue tom: 1 year.       If listed than:       If listed than:         1.09b of the balance sheet value, in the case of tenue tom: 1 year.       If listed than:       If listed than:         1.09b of the balance sheet value, in the case of tenue tom: upto 1 year.       If listed than:       If listed than:         1.15b of the balance sheet value, in the case of tenue of more than 3 years.       If listed than:       If listed than:         1.15b of the balance sheet value, in the case of tenue of more than 3 years.       If listed than:       If listed than:         1.15b of the balance sheet value, in the case of tenue of more than 3 years.       If listed than:       If listed than:         1.15b of the balance sheet value, in the case of tenue of more than 3 years.       If listed than:       If listed than:         1.16b of the balance sheet value in the case of tenue of more than 3 years.       If listed than:       If listed than:         1.17b of the balance sheet value in the case of tenue of more than 3 years.       If listed than:       If listed than:         1.17b of the balance sheet value, in the case of tenue of more than 3 years.       If listed than:       If listed than:         1.17b of the balance sheet value, in the case of tenue of more than 3 years.       If listed than:       If listed than:         1.17b of the balance app	
LS% of the balance sheet value, in the case of tenure upto 1 year.         II. 10% of the balance sheet value, in the case of tenure of more than 3 years.           II. 10% of the balance sheet value, in the case of tenure upto 1 year.         II. 10% of the balance sheet value, in the case of tenure upto 1 year.           II. 10% of the balance sheet value, in the case of tenure upto 1 year.         II. 10% of the balance sheet value, in the case of tenure of more than 3 years.           II. 10% of the balance sheet value, in the case of tenure of more than 3 years.         III. 10% of the balance sheet value, in the case of tenure of more than 3 years.           II. 10% of the balance sheet value, in the case of tenure of more than 3 years.         III. 10% of canying value.           II. If wisted 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for tesspectres values.         III. 10% of Canying value.           III. Tunisted. 100% of canying value.         III. If unisted. 100% of canying value.         IIII. If unisted. 100% of canying value.           III. Tunisted. 100% of canying value.         IIII. If unisted. 100% of canying value.         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	
II. 75% of the balance sheet value, in the case of tenue from 1-3 years.       III. 10% of the balance sheet value, in the case of tenue of more than 3 years.         II. 0% of the balance sheet value, in the case of tenue of more than 3 years.       III. 10% of the balance sheet value, in the case of tenue from 1-3 years.         III. 10% of the balance sheet value, in the case of tenue of more than 3 years.       III. 10% of the balance sheet value, in the case of tenue of more than 3 years.         III. 10% of the balance sheet value, in the case of tenue of more than 3 years.       III. 10% of the balance sheet value, in the case of tenue of more than 3 years.         III. 10% of the balance sheet value, in the case of tenue of more than 3 years.       III. 10% of the balance sheet value, in the case of tenue of more than 3 years.         III. 10% of the balance sheet value, in the case of tenue of more than 3 years.       III. 10% of the balance sheet value, in the case of tenue of more than 3 years.         III. 10% of the balance sheet value, in the case of tenue of more than 3 years.       III. 10% of the balance sheet value, in the case of tenue of more than 3 years.         III. 10% of the balance sheet value, in the Cose of tenue of more than 3 years.       III. 10% of the balance sheet value, in the case of tenue of more than 3 years.         III. 10% of the balance sheet value, in the Cose of tenue of more than 3 years.       IIII. 10% of the balance sheet value, in the case of tenue of more than 3 years.         III. 10% of the balance sheet value, in the Cose of tenue of more than 3 years.       IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	
If unlisted than:         If unlisted than:           II 125% of the balance sheet value, in the case of tenure torth - 3 years.         III 125% of the balance sheet value, in the case of tenure from 1-3 years.           III 15% of the balance sheet value, in the case of tenure of more than 3 years.         IIII 15% of the balance sheet value, in the case of tenure of more than 3 years.           III 15% of the balance sheet value, in the case of tenure of more than 3 years.         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	
1.10% of the balance sheet value in the case of tenue form 1-3 years.         III.10%           III.12% of the balance sheet value, in the case of tenue form 1-3 years.         III.15% of the balance sheet value, in the case of tenue form 1-3 years.           1.5         Investment In Equity Securities         III.15% of the balance sheet value, in the case of tenue of more than 3 years.           1.6         Investment In Equity Securities         III.5% of the balance sheet value, in the cutoff date as computed by the Securities Exchange for the securities whichever is higher.           III.11         IIIIIII.11         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	
iii. 15% of the balance sheet value, in the case of tenure of more than 3 yeas.         Investment in Egulty Securities           1.5         Investment in Egulty Securities on the cutoff date as computed by the Securities Exchange for         15,525,024         3,505,455           respective securities whichever is higher.         III initiated. 100% of carrying value.           III in unisted. 100% of carrying value.         III initiated. 100% of carrying value.         IIII initiated.         IIIII initiated.         IIIII initiated.         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	
15         Investment in Equity Securities	
If listed 15% or VaRs of actor securities on the cutoff date as computed by the Securities Exchange for     Is grant to the securities of the securities of the cutoff date as computed by the Securities Exchange for     Is grant to the securities of the securities of the securities by the securities of the securi	
respective securities whichever is higher.         i. If unitsed. 100% of carrying value.           ii. If unitsed.         ii. Subscription money against investment in IPOb/fer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.           iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities broker.         29,748,209         2	12,019,569
III. Subscription money against investment in IPOoffer for Sale: Amount paid as subscription money         paid/ddt hat share not be and licted or are not Included in the investments of securities torker.           v100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities         29,748,209 <td< td=""><td>,,</td></td<>	,,
provided that shares have not been alloted or are not included in the investments of securities broker.	
that are in Block, Freeze or Pledge status as on reporting date (July 19, 2017)           Provided that 100% haricut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing regularements or pledged in schedule III of the Regulations in respect of investment in subcitairements or pledged           1.7         Investment in associated companies/undertaking	
Provided that 100% halcut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements to pledged in favor of Stock Exchange (Clearing House against Margin Financing arrangements. In such cases, the halicut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)           1.6         Investment in subsidiaries	
Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing anangements. In such cases, the halicut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)           1.6         Investment in associated companies/undertaking	
schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)         1           1.6         Investment in subsidiaries         1           1.7         Investment in associated companies/undertaking         1           1.8         It listed 20% or VaR of each securities as computed by the Securities Exchange for respective securities whichever is higher.         1           1.8         Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity.         7,400,315           1.9         Margin deposits with exchange and clearing house.         0         0           1.10         Deposit with authorized intermediary against borowed securities under SLB.         0         0           1.11         Other deposits and prepayments         38,000,000         0           1.12         Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (NII)         100% in respect of markup accrued on loans to directors, subsidiaries and other related parties         1           1.13         Dividends receivables         1         1           1.14         Arounts receivables against Repo financing.         4           Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).         1           1.15         Is ont To Emp	
1.6       Investment in subsidiaries       Investment in associated companies/undertaking         1.7       Investment in associated companies/undertaking       Investment in associated companies/undertaking         1.7       Investment in associated companies/undertaking       Investment in subsidiaries         1.8       Invisited.10% or VaR of each securities as computed by the Securities Exchange for respective securities whichever is higher.       Investment in subsidiaries         1.8       Invisited.10% or VaR of each securities as computed by the Securities Exchange for respective securities whichever is higher.       Invisited.10% or VaR.         1.9       Margin deposits with exchange and clearing house.       38,000,000       0         1.10       Deposit with authorized intermediary against borowed securities under SLB.       Invisite intermediary against borowed securities under SLB.       Invisite intermediary against borowed securities under SLB.         1.10       Deposit with authorized intermediary against borowed securities under SLB.       Invisite intermediary against borowed securities under SLB.       Invisite intermediary against borowed securities under SLB.         1.11       Other deposits and prepayments       Invisite intermediary against borowed securities under SLB.       Invisite intermediary against borowed securities and other related parties         1.13       Dividends receivables and prepayments.       Invisite intermediary against borowed securities purchased under repo arrangement shall not be included	
In If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities         whichever is higher.         iii, if unlisted, 10% of net value.         18         Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity.         19         Margin deposits with exchange and clearing house.         19         Margin deposits with exchange and clearing house.         110         Deposit with authorized intermediary against boriowed securities under SLB.         111       Other deposits and prepayments         112       Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)         113       Dividends receivable against Repo financing.         Amount sreceivable against Repo financing.         Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments)         1.15       I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months PluS         iii. Advance tax to the extent it is netted with provision of taxation.         iii. Receivables ofform clearing house or securities exchange(s)         i. 10% walue of claims other than those on account of entitlements against trading of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)	
whichever is higher.       ii. If unlisted, 100% of net value.         ii. If unlisted, 100% of net value.       ii. If unlisted, 100% of net value.         1.8       Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository       7,400,315         0       argin deposits with exchange and clearing house.       38,000,000       0         1.10       Deposit with authorized intermediary against borrowed securities under SLB.       1         1.11       Other deposits and prepayments       1         1.12       Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (Nii)       100% in respect of markup accrued on loans to directors, subsidiaries and other related parties       1         1.13       Dividends receivables.       1       1         1.14       Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)       1         1.15       I. Short Fern Loan To Employees: Loans are Secured and Due for repayment within 12 months PLUS       1         ii. I. Receivables other than thade receivables       587,412       587,412         1.16       Receivables from clearing house or securities exchange(s)       1       1         ii. Receivable on entitlements against trading of securities in all markets including MtM gains.       1       1	
ii. If unlisted, 100% of net value.       1.8         1.8       Statutory or regulatory deposits/asic deposits with the exchanges, clearing house or central depository       7,400,315         1.9       Margin deposits with exchange and clearing house.       38,000,000       0         1.10       Deposit with authorized intermediary against borrowed securities under SLB.       1       1         1.11       Other deposits and prepayments       1	
or any other entity.       38,000,000         1.9       Margin deposits with exchange and clearing house.       38,000,000         1.10       Deposit with authorized intermediary against borrowed securities under SLB.       1         1.11       Other deposits and prepayments       1         1.12       Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities       1         1.13       Dividends receivables       1         1.14       Amounts receivable against Repo financing.       1         Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).       1         1.15       I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months PLUS       5         1.16       Receivables ofter than trade receivables       5         1.17       Receivables from clearing house or securities exchange(s)       5         1.18       I.00% value of claims other than thos on account of entitlements against trading of securities in all markets including MtM gains.       1         1.17       Receivables from clearing financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the finance (iii) market value of any sequenties deposited as collateral by the finance (iii) market value of any securities deposited as collateral after applying VAR based halicut	
1.9       Margin deposits with exchange and clearing house.       38,000,000       0         1.10       Deposit with authorized intermediary against borrowed securities under SLB.       0         1.11       Other deposits and prepayments       0         1.12       Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)       0         100% in respect of markup accrued on loans to directors, subsidiaries and other related parties       0         1.13       Dividends receivable against Repo financing.       0         Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)       0         1.15       i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months PLUS       0         ii. Advance tax to the extent it is netted with provision of taxation.       0       0         iii. Receivables other than trade receivables       587,412       587,412         1.16       Receivables from Clearing house on account of entitlements against trading of securities in all markets including MtM gains.       0         ii. Receivables from Customers       0       0       0         ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securitie	
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1.12       Accrued interest, profit or mark - up on amounts placed with financial institutions or debt securities etc. (Nil)       Image: transmission of the transmission of transmission of the transmission of transmission of transmission of the transmission of transmissin trading of transmission of transmission of transmis	
etc.(Nii)       100% in respect of markup accrued on loans to directors, subsidiaries and other related parties       0         1.13       Dividends receivables.       0         1.14       Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)       0         1.15       i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months PLUS       0         1.16       Receivables other than trade receivables       587,412         1.16       Receivables from Clearing house or securities exchange(s)       0         1.17       I. Receivables from clearing house or securities in all markets including MtM gains.       0         1.17       Receivables from customers       0         1.18       I. Receivables from customers       0         1.17       I. Receivables from customers       0         1.17       Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the finance (iii) market value of any securities deposited as collateral after applying VAR based haircut.       0	
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1.14       Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)         1.15       i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months PLUS         ii. Advance tax to the extent it is netted with provision of taxation.         iii. Receivables other than trade receivables       587,412         1.16       Receivables from Clearing house or securities exchange(s)         i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.         ii. Receivables from customers         i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.         i. Lower of net balance sheet value or value determined through adjustments.         ii. Nease neceivables are against margin financing, 5% of the net balance sheet value.         ii. Nease mount after deducting haircut	
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PLUS       ii. Advance tax to the extent it is netted with provision of taxation.       iii. Receivables other than trade receivables       587,412       587,412         1.16       Receivables from clearing house or securities exchange(s)       iii. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       iii. Receivables from customers       iii. Receivables from customers         1.17       Receivables from customers       iii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       iii. Lower of net balance sheet value or value determined through adjustments.         iii. In case receivables are against margin financing, 5% of the net balance sheet value.       iii. Incase receivables are against margin financing, 5% of the net balance sheet value.	
iii. Receivables other than trade receivables       587,412       587,412         1.16       Receivables from clearing house or securities exchange(s)           i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.           ii. Receivables on entitlements against trading of securities in all markets including MtM gains.            1.17       Receivables from customers             i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.            ii. Lower of net balance sheet value or value determined through adjustments.             iii. Incase receivables are against margin financing, 5% of the net balance sheet value.              iii. Incase receivables are against margin financing, 5% of the net balance sheet value. </td <td></td>	
1.16       Receivables from clearing house or securities exchange(s)         i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.         ii. Receivable on entitlements against trading of securities in all markets including MtM gains.         1.17       Receivables from customers         i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.         i. Lower of net balance sheet value or value determined through adjustments.         ii. Incase receivables are against margin financing, 5% of the net balance sheet value.         ii. Net amount after deducting haircut	
i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       iii. Receivable on entitlements against trading of securities in all markets including MtM gains.         1.17       Receivables from customers       iii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.         i. Lower of net balance sheet value or value determined through adjustments.       iii. Incase receivables are against margin trading, 5% of the net balance sheet value.         iii. Net amount after deducting haircut       iii. Net amount after deducting haircut	
ii. Receivable on entitlements against trading of securities in all markets including MtM gains.       Image: Constraint of the securities of the securi	
1.17       Receivables from customers         i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.         i. Lower of net balance sheet value or value determined through adjustments.         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         ii. Net amount after deducting haircut	
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut	
market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut	
i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut	
ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut	
collateral upon entering into contract,	
iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	
iv. Balance sheet value	
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of	
securities held as collateral after applying VaR based haircuts.	
vi. 100% haircut in the case of amount receivable form related parties.     1.18     Cash and Bank halances	
1.18     Cash and Bank balances       i. Bank Balance-proprietory accounts     1,474,295	1,474,295
ii. Bank balance-customer accounts 16,267,208 0	16,267,208

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **30-JUN-22** 

of M/s. M. M. Securities (Pvt.) Ltd.

Submission Date 15-JUL-2022 16:09:57

	Submission Date 13-30L-2022 10.09.57		Page	2 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	iii. Cash in hand	7,255	0	7,255
1.19	Total Assets	135,969,144	68,200,817	67,768,327
2	Liabilities			
2.1	Trade Payables	2 020 000	0	2 020 000
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products	2,938,980	0	2,938,980
	iii. Payable to customers	12,953,839	0	12,953,839
2.2	Current Liabilities			
	i. Statutory and regulatory dues ii. Accruals and other payables	1,413,278	0	1,413,278
	iii. Short-term borrowings	1,410,270	0	1,410,270
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities vi. Deferred Liabilities			
	vii. Provision for bad debts			
	vill. Provision for taxation	754 002	0	751.002
2.3	ix. Other liabilities as per accounting principles and included in the financial statements Non-Current Liabilities	751,903	0	751,903
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits	3,137,290	0	3,137,290
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in	0,101,200	Ŭ	0,101,200
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements Subordinated Loans			
	<ul> <li>i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:</li> <li>The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:</li> <li>a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period</li> <li>b. No haircut will be allowed against short term portion which is repayable within next 12 months.</li> <li>c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.</li> <li>ii. Subordinated loans which do not fulfill the conditions specified by SECP</li> </ul>			
2.5	Total Liabilites	21,195,290	0	21.195.290
3	Ranking Liabilities Relating to :	21,133,230	0	21,195,290
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
3.6	assets denominated in foreign cuurency less total liabilities denominated in foreign currency Amount Payable under REPO			
3.7				

Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **30-JUN-22** 

of M/s.M. M. Securities (Pvt.) Ltd.

Submission Date 15-JUL-2022 16:09:57

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.	3,592,210	3,592,210	3,592,210
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	3,592,210	3,592,210	3,592,210
3.12	Liquid Capital	111,181,644	64,608,607	42,980,827